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Exploring hydro-meteorological drought patterns over the Greater Horn of Africa (1979-2014) using remote sensing and reanalysis products

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Abstract

Spatio-temporal patterns of hydrological droughts over the Greater Horn of Africa (GHA) are explored based on total water storage (TWS) changes derived from time-variable gravity field solutions of Gravity Recovery and Climate Experiment (GRACE, 2002-2014), together with those simulated by Modern Retrospective Analysis for Research Application (MERRA, 1980-2014). These hydrological extremes are then related to meteorological drought events estimated from observed monthly precipitation products of Global Precipitation Climatology Center (GPCC, 1979-2010) and Tropical Rainfall Measuring Mission (TRMM, 1998-2014). The major focus of this contribution lies on the application of spatial Independent Component Analysis (sICA) to extract distinguished regions with similar rainfall and TWS with similar overall trend and seasonality. Rainfall and TWS are used to esti-

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mate Standard Precipitation Indices (SPIs) and Total Storage Deficit Indices (TSDIs) respectively that are employed to characterize frequency and intensity of hydro-meteorological droughts over GHA. Significant positive (negative) changes in monthly rainfall over Ethiopia (Sudan) between 2002 and 2010 leading to a significant increase in TWS over the central GHA region were noted in both MERRA and GRACE TWS (2002-2014). However, these trends were completely reversed in the long-term (1980-2010) records of rainfall (GPCC) and TWS (MERRA). The four independent hydrological sub-regions extracted based on the sICA (i.e., Lake Victoria Basin, Ethiopia-Sudanese border, South Sudan, and Tanzania) indicated fairly distinct temporal patterns that matched reasonably well between precipitation and TWS changes. While meteorological droughts were found to be consistent with most previous studies in all sub-regions, their impacts are clearly observed in the TWS changes resulting in multiple years of extreme hydrological droughts. Correlations between SPI and TSDI were found to be significant over Lake Victoria Basin, South Sudan, and Tanzania. The low correlations between SPI and TSDI over Ethiopia may be related to inconsistency between TWS and precipitation signals. Further, we found that hydrological droughts in these regions were significantly associated with Indian Ocean Dipole (IOD) events with El Niño Southern Oscillation (ENSO) playing a secondary role.

Keywords: Greater Horn of Africa, Total Storage Deficit Index (TSDI), Standardized Precipitation Index (SPI), spatial Independent Component Analysis (sICA), ENSO, IOD

1. Introduction

The people of the semi-arid region of Greater Horn of Africa (GHA) largely depend on rain-fed agriculture and livestock that is increasingly coming under threat from the intensified frequency and severity of drought events over the past decades (e.g., [Kurnik et al., 2011](#)). Since most crops in GHA are planted during rainy seasons, i.e., March-May (MAM) and October-December (OND), food security is increasingly coming under jeopardy given the fact that these seasons too are now reported to experience drought episodes ([Marthews et al., 2015](#)). This is further exacerbated by the continued warming of the Indian-Pacific Oceans possibly linked to anthropogenic influences or multi-decadal climate variability, which has been shown to contribute to more frequent droughts in GHA over the past 30 years during the spring and summer seasons (see, e.g., [Williams & Funk, 2011](#); [Lyon, 2014](#); [Funk et al., 2014](#)).

Even with the reality of the threat posed by droughts in the GHA, see e.g., [Lyon \(2014\)](#), effective drought monitoring in this region is, however, challenged by multiple factors including: inadequate spatial coverage and hydro-meteorological observations (e.g., precipitation, temperature, soil moisture, and groundwater storage) that are not readily available beyond the local meteorological services, poor communication frameworks, and national red tapes in accessing data.

Furthermore, the large spatial extent of GHA makes the collection of hydrological data quite challenging and hence the reason why most studies (e.g., [Viste et al., 2013](#)) have focused largely on meteorological droughts. This view is supported e.g., by [Naumann et al. \(2014\)](#) who pointed out that the

26 lack of reliable hydro-meteorological data hold up development of effective
27 real-time drought monitoring and early warning systems in the region. To
28 account for various drought conditions over GHA, therefore, an integrated
29 drought index that involves various meteorological and hydrological variables
30 is desirable (e.g., the African Drought Monitor (ADM¹) project; [Sheffield](#)
31 [et al., 2014](#)). This, therefore, highlights the significant role played by satellite
32 remote sensing in data deficient regions.

33 To this extent, the Gravity Recovery and Climate Experiment (GRACE,
34 [Tapley et al., 2004](#)) satellite remote sensing mission, launched in 2002, offers
35 the possibility to characterize droughts at a wider spatial coverage albeit for
36 shorter time span (from 2002 onwards). The value of GRACE-derived total
37 water storage (TWS) changes for drought studies and estimating e.g., a Total
38 Storage Deficient Index (TSDI), has been demonstrated (e.g., in [Andersen](#)
39 [et al., 2005](#); [Yirdaw et al., 2008](#); [Agboma et al., 2009](#); [Leblanc et al., 2009](#);
40 [Chen et al., 2009, 2010](#); [Houborg et al., 2012](#); [Long et al., 2013](#); [Li & Rodell,](#)
41 [2014](#); [Thomas et al., 2014](#)).

42 Although GRACE has the capability to offer a wider spatial coverage,
43 spatio-temporal analysis of drought in GHA using a single areal-average as
44 a representation of the entire GHA region is challenging. This is mainly due
45 to the meteorological observations that are influenced by spatial inhomogeneity
46 of climate over the GHA caused by uneven topography, north-south
47 migration of Inter-tropical Convergence Zone (ITCZ), and spatially varying
48 influences of large-scale climate events among others (see e.g., [Viste et al.,](#)

¹<http://stream.princeton.edu/AWCM/WEBPAGE/interface.php?locale=en>

49 [2013](#)).

50 In this contribution, as opposed to [Awange et al. \(2008\)](#), [Awange et al.](#)
51 [\(2013\)](#), [Awange et al. \(2014a\)](#) and [Awange et al. \(2014b\)](#) that focused mainly
52 on TWS changes in Lake Victoria Basin, Nile Basin and Ethiopia, GRACE
53 satellites and sICA method ([Forootan & Kusche, 2012, 2013](#); [Forootan et al.,](#)
54 [2012](#)) are employed for the first time to characterize meteorological and hy-
55 drological droughts in the entire GHA. The novelty is that for the first time,
56 the long-term (1980-2014) meteorological and hydrological drought in GHA
57 is extracted using the Standardized Precipitation Index (SPI) and TSDI,
58 respectively. The spatio-temporal variability of SPI and ISDI is also com-
59 pared and a comprehensive interpretation of various drought events across
60 the GHA is provided.

61 Specifically, the study (i) applies sICA to partition the GHA into various
62 sub-regions based on precipitation and TWS changes, (ii) employs GRACE
63 (2002-2014) and Modern Retrospective Analysis for Research Application
64 (MERRA, 1980-2014) TWS changes to derive hydrological drought indices
65 for the four independent sub-regions (as computed in i), (iii) uses observed
66 precipitation products, Global Precipitation Climatology Center (GPCC,
67 1979-2010) and Tropical Rainfall Measuring Mission (TRMM, 1998-2014)
68 to compute remotely sensed SPI, and (iv) examines the relative influence of
69 ENSO and IOD events on hydro-meteorological droughts (reflected in TSDI
70 and SPI) within the GHA sub-regions.

71 The remainder of the study is organized as follows. In section [2](#), a general
72 climatological background of GHA is presented while section [3](#) presents an
73 overview of the data as well as the analysis methods used. In section [4](#), the

drought indicators used in this study are described, while in section 5, the results are analysed and interpreted. The study is concluded in section 6.

2. Greater Horn of Africa (GHA): Climatological Background

The GHA, a semi-arid region, is made up of Burundi, Djibouti, Ethiopia, Eritrea, Kenya, Rwanda, Somalia, South Sudan, Sudan, Tanzania and Uganda. Long rains over equatorial GHA region mostly occur during March-May (MAM, Figure 1b) while short rains occur in October-December (OND, Figure 1d) corresponding to the migration of the intertropical convergence zone (ITCZ) from south to north and vice versa (Marthews et al., 2015). Furthermore, Ethiopia, South Sudan, Sudan, and parts of Uganda experience a single rainy season from June-September (JJAS) Figure 1c).

Apart from its seasonal differences, rainfall variability over the GHA is closely associated with the large-scale regional and global circulations such as ENSO, fluctuation of the Indian Ocean and Atlantic Ocean SST, and moisture fluxes over the Congo region (e.g., Nicholson, 1997; Williams et al., 2012; Tierney et al., 2013; Lyon, 2014). Mean temperature patterns over GHA follow the annual rainfall pattern.

3. Data

The data used in this study include monthly precipitation products obtained from global gridded rain gauge and near-global satellite-based estimates, as well as TWS changes from a reanalysis model and GRACE time-variable gravity field solutions.

96 *3.1. Precipitation Products*

- 97 1. *GPCC v6*: The Global Precipitation Climatology Center (GPCC) pro-
98 vides gridded precipitation products at various temporal and spatial
99 resolutions derived from up to 67,000 quality controlled station data
100 around the world between 1901 and 2010 (Schneider et al., 2014). This
101 study used monthly precipitation data at $0.50^\circ \times 0.50^\circ$ (latitude \times
102 longitude) spatial resolution covering the period 1979-2010. GPCC
103 products have been found to be consistent with other rainfall products
104 in Africa (see e.g., Awange et al., 2015). The distribution of rain gauges
105 over the GHA region, however, is rather sparse over certain areas such
106 as Somalia, Sudan, and Tanzania, and as such may not provide accurate
107 representation of the precipitation variability over these regions.
- 108 2. *TRMM 3B43*: To complement the GPCC v6 data for the most re-
109 cent period, monthly precipitation estimates from the Tropical Rain-
110 fall Measuring Mission (TRMM) Multi-satellite Precipitation Analysis
111 (TMPA, Huffman et al., 2007) version 7 for the period 1998 to 2014
112 were used. These products at $0.25^\circ \times 0.25^\circ$ spatial resolution were
113 interpolated to $0.50^\circ \times 0.50^\circ$ to make them consistent with those of
114 GPCC. Awange et al. (2015) assessed various precipitation products
115 over Africa covering 2003-2010, from which TRMM 3B43 (hence forth
116 referred to as TRMM) indicated similar skills compared to GPCC v6.

117 **[FIGURE 1 AROUND HERE.]**

118 *3.2. GRACE Level-2 Data*

119 In this study, the reprocessed GRACE Level-2 RL05a time-series for the
120 period 2002 to 2014 from the Gravity Recovery and Climate Experiment

121 (GRACE, e.g., [Tapley et al., 2004](#)) was used. The GRACE data is provided
122 by GeoForschungsZentrum (GFZ, Potsdam, [Dahle et al., 2013](#)) as sets of
123 (approximately) monthly fully normalized geopotential spherical harmonic
124 coefficients up to degree and order 90 (see, [ftp://podaac.jpl.nasa.gov/
125 allData/grace/L2/](ftp://podaac.jpl.nasa.gov/allData/grace/L2/)).

126 GRACE degree one (C_{10}, C_{11}, S_{10}) coefficients were replaced by those
127 from [Cheng et al. \(2013\)](#), and the more accurate degree two coefficients de-
128 rived from satellite laser ranging (SLR, [Cheng & Tapley, 2004](#)) replaced C_{20} .
129 A commonly used non-isotropic de-correlation filter (DDK3, [Kusche et al.,
130 2009](#); [Forootan, 2014](#)) was applied to reduce the high degree/order corre-
131 lated errors, which are manifested as stripes in the spatial domain. This
132 filter removes most of north-south stripes and seems to be suitable to pro-
133 cess GFZ RL05a products over the GHA. To be consistent with GPCC, the
134 time-variable spherical harmonic coefficients were converted to $0.50^\circ \times 0.50^\circ$
135 (latitude \times longitude) TWS grids using the approach of [Wahr et al. \(1998\)](#).
136 The selected spatial grid is optimistic for GRACE products whose spatial
137 resolution is $\sim 1^\circ$.

138 3.3. MERRA Data

139 GRACE TWS estimates were compared with those simulated by the Mod-
140 ern Retrospective Analysis for Research Application (MERRA, [Rienecker
141 et al., 2011](#)). MERRA reanalysis are run globally at a relatively high spa-
142 tial resolution ($0.67^\circ \times 0.50^\circ$) and are available as monthly products from
143 1979-present. In this study, monthly MERRA-LAND TWS estimates for the
144 period 1980 to 2014 were processed by filtering in the spectral domain and
145 then converted to a grid resolution of $0.50^\circ \times 0.50^\circ$ to be consistent with

146 those of GRACE and GPCC products.

147 Filtering of both GRACE and MERRA products, however, causes some
148 damping of the signal amplitude and might introduce spatial leakages, which
149 should be restored by introducing a multiplicative scaler (or a gridded) gain
150 factor (e.g., [Landerer & Swenson, 2012](#)). A multiplicative scale factor of 1.05
151 was obtained from the MERRA TWS and was uniformly applied to both
152 GRACE and reanalysis data used in this study.

153 4. Hydro-meteorological Drought Indices

154 To assess the hydro-meteorological drought events, two drought indicators
155 were analysed: (i) the Standard Precipitation Index (SPI) estimated from
156 monthly precipitation products of GPCC and TRMM, and (ii) the Total
157 Storage Deficit Index (TSDI) deduced from MERRA and GRACE. Drought
158 conditions were assessed over various sub-regions of the GHA extracted using
159 the spatial independent component analysis approach discussed in Section
160 [4.3](#).

161 4.1. Standardized Precipitation Index (SPI)

162 The Standardized Precipitation Index (SPI) is a widely-used meteorolog-
163 ical drought indicator based on probability distribution of long-term rainfall
164 time-series developed by [McKee et al. \(1993, 1995\)](#) to provide a spatially and
165 temporally invariant measure of rainfall deficit (or excess) over a variety of
166 accumulation timescales (e.g., 3, 6, 12, 24 months). SPI is derived by fitting a
167 parametric cumulative probability distribution (CDF) of e.g., γ -distribution
168 to the precipitation time-series, which are then transformed to obtain the
169 SPI using the inverse normal (Gaussian) distribution function (e.g., [Viste](#)

et al., 2013). SPI provides the value of standard anomalies from the median indicating negative for drought and positive for wet conditions (see details in Table 1). In this study, SPI is computed for the 12-month accumulation time periods to capture the long-term trend of meteorological droughts over the GHA.

[TABLE 1 AROUND HERE.]

4.2. Total Storage Deficit Index (TSDI)

The Total Storage Deficit Index (TSDI) is a renamed version of Soil Moisture Deficient Index (SMDI, Narasimhan & Srinivasan, 2005) by Yirdaw et al. (2008). TWS changes, which comprise information of the changes in all water components (surface, groundwater, soil moisture, biomass, ice and snow) are used in this study to compute TSDI. The procedure to estimate TSDI (based on GRACE data) involves an estimation of the Total Storage Deficit as (TSD%, Yirdaw et al., 2008):

$$TSD(k, j - 2001) = \frac{TWS(k, j) - Mean(TWS(k, :))}{Max(TWS(k, :)) - Min(TWS(k, :))} \times 100, \quad (1)$$

$$k = 1, 2, \dots, 12, j = 2002, 2003, \dots, 2014$$

where $TWS(k, j)$ is the k'th month of total water storage (anomaly) in each year ($j = 2002, 2003, \dots, 2014$) obtained from GRACE. For MERRA, the left hand side of Eq. 1 becomes $TSD(k, j - 1979)$ and $j = 1980, 1981, \dots, 2014$. $Mean(TWS(k, :))$, $Max(TWS(k, :))$, and $Min(TWS(k, :))$ are respectively the mean, maximum, and minimum of the k'th month of TWS over the study period (for GRACE covering 2002-2014 and for MERRA 1980-2014).

190 The TSD values in Eq. 1 can be stored in a vector TSD_i ($i = 1, 2, \dots$, length
191 of TWS time series). Consequently, TSDI can be computed as

$$TSDI_i = p \times TSDI_{i-1} + q \times TSD_i, \quad (2)$$

192 where the drought severity and duration factors p and q are defined from the
193 cumulative TSD plot (e.g., Figure 2) based on the following relation (Yirdaw
194 et al., 2008):

$$p = 1 - \frac{m}{m + b}, \quad q = -\frac{C}{m + b}. \quad (3)$$

195 In Eq. 3, C represents drought intensity, which is obtained from the best
196 fit line of the cumulative TSD (from the drought monograph) during the
197 dryness period (see e.g., line 3 in Figure 2). In general, C can take on any
198 of the four drought classifications values (-4.0 for extreme drought, -3.0 for
199 severe drought, -2.0 for moderate drought, and -1.0 for mild drought) defined
200 according to Palmer (1965). The other elements of the regression line are
201 the slope m and the intercept b of the best fit of the drought monograph for
202 the dryness period of the cumulative TSD curve.

203 Using an example, we illustrate how the TSDI values of this study are
204 estimated. Figure 2(a) shows a TSD (%) plot over Ethiopia derived from
205 GRACE TWS changes based on Eq. 1 between 2004 and 2013. A prolonged
206 drought pattern from March 2004 to November 2006 (33 months) has been
207 detected with the TSD values reaching as low as 66%. Assuming this sce-
208 nario, we plot the cumulative TSDs in Figure 2(b) to derive the parameters
209 in Eqs. 2-3. Using the linear regression parameters during the declining
210 TWS period (i.e., 2004-2007), TSDI was computed for the period of decline.

211 The slope ($m = -31.54$) and y-intercept ($b = -20.51$) parameters derived
 212 from the cumulative TSD based on 33 months from March 2004 to Novem-
 213 ber 2006 were subsequently used to calculate the critical parameters p and q .
 214 Therefore, $C = -3$ is the category of drought event as can be seen in Figure
 215 2. Correspondingly p and q were derived as 0.394 and 0.058, respectively.
 216 $TSDI_{1,1}$ was assumed to be 2% of TSD_1 in line with Yirdaw et al. (2008).
 217 The TWS droughts, categorized based on the “ C ” values of Figure 2, are re-
 218 ported in Table 2. This procedure was used to compute TSDI from GRACE
 219 and MERRA TWS changes in order to explore hydrological droughts over
 220 the GHA for the entire study period (1980-2014).

221 [FIGURE 2 AROUND HERE.]

222 [TABLE 2 AROUND HERE.]

223 4.3. Spatial Independent Component Analysis (sICA)

224 The sICA (Forootan & Kusche, 2012) approach was applied to extract
 225 statistically independent modes of precipitation and TWS changes. We em-
 226 phasize that sICA patterns are essentially regions with similar seasonality
 227 (and not typical spatial patterns of variability) and as such, there could be
 228 significant bias in the analysis from the wettest stations. As the estimated
 229 modes are statistically independent, they can be separately analysed without
 230 considering other modes (see an application of sICA over the Nile Basin in
 231 Awange et al., 2014a). Suppose $\mathbf{X}(t, s)$ is a gridded time series of precipita-
 232 tion or TWS changes after removing their dominant annual and semi-annual
 233 cycles. sICA decomposes the time-series of \mathbf{X} into j spatial \mathbf{S}_j and temporal
 234 \mathbf{A}_j modes as:

$$\mathbf{X}(t, s) = \mathbf{A}_j(t) \mathbf{S}_j(s), \quad (4)$$

where t is the time and s represents the grid points. By applying sICA the rows of \mathbf{S} are statistically as independent as possible, and the columns of \mathbf{A} are their corresponding temporal evolutions. The use of sICA as opposed to principal component analysis (PCA) or its rotated version (RPCA) makes sense in this study since it helps to identify (or localize) specific hydrological areas impacted by droughts or extreme wet conditions over GHA, which is not possible when using PCA or RPCA. The details of sICA estimation are reported in [Forootan \(2014\)](#), chapter 4. Here, only the first four dominant modes of both precipitation and TWS changes were found statistically significant and consequently were considered separately to reconstruct precipitation and TWS changes over various sub-regions of GHA. Both SPI and TSDI (as discussed in Sections [4.1](#) and [4.2](#)) were estimated using the four dominant sICA modes.

5. Results and Discussions

5.1. Changes in Precipitation and TWS

Changes in TWS depend on the variability of precipitation and climate extremes. Rainfall over GHA region has been reported to show considerable inter-seasonal and inter-annual variability over the past few decades beside the long-term changes (e.g., [Williams et al., 2012](#); [Lyon, 2014](#); [Omondi et al., 2014](#)). Figure 3 shows the variability of rainfall and TWS changes. Trends were estimated from monthly rainfall/TWS anomalies (y) over various common time periods (T) using multilinear regression technique ($y =$

257 $\hat{\beta}_0 + \hat{\beta}_1 T + \hat{\beta}_2 \sin(2\pi T) + \hat{\beta}_3 \cos(2\pi T) + \hat{\beta}_4 \sin(4\pi T) + \hat{\beta}_5 \cos(4\pi T) + \epsilon(T)$,
 258 where $\hat{\beta}_0$ is a constant term, $\hat{\beta}_1$ represents the linear trend, and the remain-
 259 ing terms represent the annual and semi-annual signals, ϵ represents the error
 260 terms. Only changes that are significant at 95% confidence interval based on
 261 student's t -test are shown.

262 Figure 3(a) shows significant decline (up to 20 mm/decade) in rainfall
 263 over southern and northern Sudan as well as increase in rainfall over cen-
 264 tral Ethiopia between 2002 and 2014 based on monthly TRMM precipita-
 265 tion estimates. Equivalent increase (decrease) in TWS over central Ethiopia
 266 (eastern Kenya, Somalia, and northern Sudan) are noted in MERRA (Figure
 267 3b). GRACE also indicated decreasing trends over southern Sudan and east-
 268 ern Kenya (similar to rainfall) as well as anomalously large positive trends
 269 (up to 20 mm/year) over the Lake Victoria region (Figure 3c). However,
 270 a completely opposite sign is observed in the long-term records of GPCC
 271 precipitation products between 1980 and 2010 (Figure 3d; i.e., multidecadal
 272 oscillations may be influencing the sign of the trends), which indicate sig-
 273 nificant negative (positive) changes over Ethiopia and Tanzania (Sudan and
 274 Somalia). This might have lead to a overall negative trend in TWS over
 275 the northern parts of GHA during the same period as shown by MERRA in
 276 Figure 3e.

277 **[FIGURE 3 AROUND HERE.]**

278 Figure 4 shows the area-averaged seasonal anomalies of TWS based on
 279 MERRA (1980-2014) and GRACE (2002-2014) data. Substantial inter-seasonal
 280 variations in TWS over the past 30-40 years are observed. Besides decadal

281 trends, prolonged periods of negative TWS anomalies in the late 1980s to
282 early 1990s and late 1990s and early 2000s are observed. Although, GRACE
283 data covers a very short time period, the inter-seasonal variations are visible
284 in all the seasons. While the TWS changes in 2009 may have occurred as a
285 result of the historical 2009-2011 drought, TWS changes between 2002 and
286 2006 at a rate of 6.20 mm/month seen in GRACE data has been linked to
287 other processes such as anthropogenic, evaporations, and rainfall deficiency
288 ([Awange et al., 2008, 2014a](#)).

289 To assess the overall relationship between rainfall and GRACE TWS
290 changes over the region, the temporal correlations are computed and pre-
291 sented in Figure 5, together with their lags. High correlations between rain-
292 fall and TWS (with a 2-month time lag) observed in Figure 5a indicate that
293 TWS changes are primarily driven by precipitation. Areas of low correlation
294 (over central GHA region) show a time-lag of about one month (see, Figure
295 5d). This low correlations could be related to seasonality, where the rainfall
296 is usually bimodal over these regions.

297 MERRA TWS changes on its part show considerably better correlations
298 with rainfall indicating that TWS changes simulated by MERRA are mainly
299 driven by precipitation (Figure 5b). It should be noted that MERRA does
300 not simulate changes in surface water and groundwater, and therefore, does
301 not represent the integrated changes in TWS as observed by GRACE satel-
302 lites ([Rienecker et al., 2011](#)). The large-scale high correlation patterns ob-
303 served in Figure 5b are further illustrated by the time lags, where the majority
304 of the region indicated a lag of only one month. Further, the correlations
305 between GRACE and MERRA (Figure 5c) closely resemble those of rainfall

306 and GRACE, although over a different time lag (see, Figure 5f).

307 **[FIGURE 4 AROUND HERE.]**

308 **[FIGURE 5 AROUND HERE.]**

309 *5.2. Spatio-temporal Drought Patterns over GHA*

310 To study the temporal drought patterns over the GHA, sICA was ap-
311 plied on the non-seasonal rainfall/TWS anomalies to derive region-specific
312 patterns of temporal variability over the period 1979 to 2014. Figure 6 shows
313 the standard deviations derived from the first four leading modes of rainfall
314 variability, together with their corresponding temporal patterns (ICs). The
315 first independent mode of rainfall variability based on GPCC indicates the
316 largest anomalies over central GHA (Kenya, parts of Ethiopia and Soma-
317 lia), accounting for 23.8% of the variability (Figure 6a). The second mode
318 (20.2%) is localized over central Ethiopia indicating a maximum standard
319 deviation of about 30 mm (Figure 6b) while the third mode (20.0%) is con-
320 centrated over Tanzania (Figure 6c). The fourth mode (13.3%) is localized
321 over northern Ethiopia and Sudan (Figure 6d). TRMM showed similar spa-
322 tial patterns to GPCC (Figure 6e-h) except that the second and third modes
323 were inter-changed (cf. that of GPCC in Figures 6b and 6c). The maxi-
324 mum variability in the third mode was found to be localized completely over
325 Ethiopia (Figures 6g-h).

326 The corresponding temporal patterns in Figures 6i-l, indicate consider-
327 able inter-annual variability and their temporal variations appeared to be
328 considerably different from each other signifying the complexity of the re-

329 gion. Note that the ICs are only shown for the period 1998 to 2014 in order
330 to highlight the differences between the two precipitation products.

331 The four dominant modes of TWS variability are shown in Figure 7 with
332 both MERRA (1980-2014) and GRACE (2002-2014) indicating distinct pat-
333 terns of maximum variability over various parts of the GHA region. In order
334 to highlight the differences in temporal evolutions between MERRA and
335 GRACE, the ICs are plotted from 2002 to 2014 only. MERRA TWS showed
336 the largest anomalies over western Ethiopia (explaining about 30% of the
337 variability; Figure 7a) while the second mode (18.2%) is localized over west-
338 ern Tanzania (Figure 7b). The third independent mode showed maximum
339 variations over the northern Lake Victoria region accounting for 17.3% of
340 the variability (Figure 7c). The fourth mode (14.3%) is mainly concentrated
341 over South Sudan (Figure 7d).

342 GRACE showed maximum variability ($\sim 27\%$) over the Lake Victoria
343 region (Figure 7e). Its second mode (16%) indicates maximum variations
344 between Sudan and Ethiopia (Figure 7f) while its third mode ($\sim 14\%$) is lo-
345 calized over South Sudan (Figure 7g). The fourth mode (13.8%), although
346 not very distinctive in its spatial pattern, shows a similar temporal pattern
347 to that of MERRA over Tanzania. It should be noted here that the spatial
348 patterns of maximum variability shown by MERRA do not exactly match
349 with those from GRACE data. This could be possibly due to limitations in
350 MERRA (errors in TWS modelling) and GRACE data (short time period).
351 One obvious limitation in MERRA lies in its inability to capture the maxi-
352 mum variations resulting from the Lake Victoria Basin (see, e.g., sICA 1 in
353 Figure 7e). Note though that for GHA, the regions of maximum variations

354 often overlap among the four leading modes. For example, the maximum
355 variations over South Sudan shown by MERRA (Figure 7d) match quite
356 well with sICA 3 of GRACE data (see, Figure 7g).

357 In this way, we match the spatial patterns of sICA from GRACE and
358 MERRA to plot their ICs in Figures 7i-l. It can be seen that the matched
359 temporal patterns between GRACE and MERRA are very close. The corre-
360 sponding ICs plotted between 2002 and 2014 show considerably inter-annual
361 variability, indicating the hydrological extremes over parts of the GHA re-
362 gion. For example, the temporal patterns over the Lake Victoria region (Fig-
363 ure 7e) are reproduced reasonably well by GRACE and MERRA (Figure 7i),
364 indicating a rapid decline in TWS from 2002 to 2006 and steadily increasing
365 thereafter (see e.g., [Awange et al., 2008](#); [Swenson & Wahr, 2009](#)). GRACE
366 (IC2, Figure 7j, Ethiopia-Sudanese border) indicates the typical hydrological
367 extremes, i.e., droughts of 2004, 2009, and 2011 while GRACE (IC3) fairly
368 represents the dry periods over South Sudan (Figure 7k). GRACE (IC4),
369 which is mainly localized over the eastern GHA region (Figure 7l) indicates
370 a rapid decline of TWS from 2002 to 2006 and 2007 to 2010.

371 **[FIGURE 6 AROUND HERE.]**

372 **[FIGURE 7 AROUND HERE.]**

373 Based on the sICA results in Figures 6 and 7, in Table 3, we classified
374 GHA into four sub-regions in the order of sICA-derived patterns of GRACE
375 TWS in Figures 7e-h. Precipitation and TWS anomalies reconstructed from
376 the individual spatial and temporal modes provide rainfall and TWS changes
377 with anomalies mainly localized over these four regions. Four time-series were

378 calculated for each dataset based on the four leading sICA modes to gener-
 379 ate SPI and TSDI indices used to study the hydrological extremes over the
 380 period 1980 to 2014. Since we have already removed the seasonal component
 381 from both precipitation and TWS changes before applying the sICA, it is
 382 not necessary to use Eq. 1 to compute the TSD anomalies. Instead, we con-
 383 verted the TWS anomalies to TSD (in %) by dividing them with the range
 384 ($TWS_{max}-TWS_{min}$). While SPI is straight forward (Figure 8), the calcula-
 385 tion of TSDI index is rather complicated depending on the period of drought
 386 events, which is important for deriving the slope and y-intercept parameters
 387 from the cumulative TSDs. By analyzing the individual time-series, we esti-
 388 mated the TSDIs for all the four regions and plotted them in Figure 9. For
 389 example, TSDI (of GRACE TWS) over Lake Victoria region (Region 1) was
 390 calculated from cumulative TSDs between June 2006 and November 2006
 391 while the TSDI over South Sudan (Region 3) was calculated for August 2009
 392 to May 2010 (see Table 3).

393 **[TABLE 3 AROUND HERE.]**

394 Figure 8 shows the 12-month SPI indices calculated from GPCC (1979-
 395 2010) and TRMM (1998-2014) over the four regions as indicated in Table 3
 396 and Figures 7e-h. These are (a) Region 1 covering the Lake Victoria Basin,
 397 (b) Region 2, including the Ethiopia-Sudan border, (c) Region 3 covering
 398 South Sudan, and (d) Region 4, including Tanzania and parts of Ethiopia.
 399 The first 12 months of GPCC (i.e., 1979) and TRMM (i.e., 1998) were re-
 400 moved during the SPI computation. Table 4 summarizes the duration and
 401 intensity of severe to extreme meteorological drought episodes for the four

sub-regions between 1980 and 2014. The SPI indices over Region 1 in Figure 8a (corresponding to Figures 6a and 6e) shows extreme drought events in 1984, 1999-2000, 2009, and 2011, consistent with the findings in Lyon (2014). The most notable droughts over Ethiopia and eastern Sudan (Region 2, Table 3) include the extreme drought events of 2009 and some moderate droughts in 1984, 1986, 1992, 1994, and 2002 (Figure 8b). SPI patterns over South Sudan (Figure 8c, see also, Table 3) indicate prolonged droughts from 1982 to early 1985 and between 2009 and 2010. The SPI indices over Tanzania (Region 4, Figure 8d) indicate considerable variability with severe/extreme droughts lasting from one to two years (e.g., 1988-1989, 1997-1998, 2003-2004, and 2005-2006).

Although there were indications of decreasing rainfall from 1980-1982, it did not lead to extreme droughts over Tanzania. The region exhibited only a moderate drought from 2010-2011, which caused devastating affects in other parts of the GHA (WMO, 2012; Tierney et al., 2013). The two precipitation products, GPCC and TRMM were found to be fairly consistent across all the four regions between 1998 and 2010 but were found to differ slightly over Region 3 (over South Sudan, Figure 8c), where rainfall variability of GPCC was highest over central Sudan and northern Ethiopia (see, Figure 6d) in contrast to South Sudan as indicated by TRMM (Figure 6g).

[FIGURE 8 AROUND HERE.]

[TABLE 4 AROUND HERE.]

Figure 9 shows the TSDIs of the four regions (see Table 3). Major hydrological drought events between 1980 and 2014 based on TWS changes de-

426 rived from MERRA (1980-2014) and GRACE (2002-2014) are summarized
 427 in Table 5. For MERRA, only severe to extreme droughts are shown for
 428 brevity. Clearly, three or more severe to extreme hydrological droughts oc-
 429 curred over various regions of GHA with some of them lasting for 75 months
 430 (Table 5). Over the Lake Victoria Basin, especially over Kenya and northern
 431 Tanzania, four major droughts were detected, which in total lasted for 20
 432 or more months (Figure 9a). All the four droughts occurred as a result of
 433 prolonged meteorological dry episodes (see, Table 4) over the same region.
 434 While MERRA indicated only moderate droughts from 2004-2006, GRACE
 435 showed severe droughts from March 2004 to November 2006, which lasted for
 436 33 months, the period that coincided with the fall of Lake Victoria water level
 437 between 2002 and 2006 due to the expansion of the Owen Falls Dam (see e.g.,
 438 Awange et al., 2008). From Table 4 (see also, Figure 8d), it can be seen that
 439 a severe meteorological drought also occurred from October further exacer-
 440 bating the TWS decline. However, the most recent extreme meteorological
 441 droughts of 2009-2010 and 2010-2011 (see, Table 4) only caused moderate
 442 hydrological droughts over the region (Table 5).

443 [FIGURE 9 AROUND HERE.]

444 [TABLE 5 AROUND HERE.]

445 Over Ethiopia and Sudan (Region 2), we observe very different scenarios
 446 of TWS variability between GRACE and MERRA especially over 2002 to
 447 2014, where GRACE data showed large positive (negative) TSDIs between
 448 2007 and 2009 (2007 and 2011), which were not represented well by MERRA
 449 (Figure 9b). MERRA also indicates prolonged droughts from April 2000 to

450 June 2006 lasting for about 75 months (Figure 9b and Table 5), which we
 451 believe could be spurious. However, it is also observed that two meteorolog-
 452 ical droughts have occurred (1999-2000, and 2002-2004), which might have
 453 affected the TWS variability in MERRA. Extreme hydrological droughts
 454 have been found from July 2011-July 2012 (MERRA) and September 2009-
 455 October 2011 (GRACE) in response to the prolonged meteorological drought
 456 conditions over the region (see, Figure 9b). MERRA also indicated extreme
 457 droughts between 1990 and 1993, which was again, in response to the me-
 458 teorological droughts that occurred from 1991-1992. The region also experi-
 459 enced the largest TWS decline (extreme hydrological drought) in 2009-2010
 460 and 2010-2011, corresponding to the historical drought events from 2009-
 461 2011. These events were well-captured by the two precipitation products
 462 (Figure 8b) and GRACE-derived TWS changes (Figure 9b). During this two
 463 periods, SPI dropped below -2.5 while the TSDI was round -6.0 during the
 464 peak period (i.e., December to February 2009-2010). It is also interesting
 465 to observe that both the meteorological droughts eventuated from rainfall
 466 deficits during the OND season.

467 TSDI patterns over Region 3 representing the South Sudan region are
 468 quite complicated as both GRACE and MERRA indicate high intra-seasonal
 469 variability (especially observed in GRACE) but the extreme drought of 2011-
 470 2012 was well captured by GRACE (Figure 9c). This could have occurred as a
 471 result of prolonged meteorological droughts that last for 39 months from May
 472 2009 to July 2012. Note that MERRA also indicated prolonged hydrological
 473 droughts between July 1988 and December 1992. Region 4 covering Tan-
 474 zania and parts of Ethiopia experienced several instances of droughts with

475 varying intensities between 1980 and 2014 (Figure 9d). The most notable
 476 drought events shown by MERRA are the prolonged droughts that occurred
 477 in the early 1990, the late 1990s, and in 2011. Similar to the Lake Victoria
 478 Basin (Region 1), this region also exhibited significant decline in TWS from
 479 2003 to mid-2006 leading to a prolonged (and extreme) hydrological drought
 480 over the region. It should be noted here that the region also suffered two
 481 meteorological droughts (2003-2004 and 2005-2006) during the period (see,
 482 Figure 8d). The 2010-2011 extreme drought was also captured very well by
 483 GRACE data.

484 Figure 10 illustrates the spatial evolution of the 2009-2010 drought com-
 485 puted as a sum of rainfall/TWS over three important seasons. It is ob-
 486 served that the entire GHA experienced anomalously low rainfall during
 487 OND 2010 (Figure 10a), which remained relatively dry during MAM 2011
 488 over Kenya, Ethiopia, and South Sudan (Figure 10b) before recovering to
 489 positive changes. TWS anomalies were mostly negative in the southern and
 490 western parts of GHA during OND 2010 (Figure 10d) but their magnitudes
 491 further increased during MAM 2011 (Figure 10e). High negative anomalies
 492 have shifted to Region 3 during JJAS 2011 but the majority of the regions
 493 still indicate negative anomalies (Figure 10f) as a result of deficit rainfall in
 494 the two preceding months.

495 **[FIGURE 10 AROUND HERE.]**

496 It is observed that almost all the hydrological droughts over the GHA re-
 497 gion have resulted from extreme (and/or prolonged) meteorological droughts
 498 with both SPI and TSDI indicating consistent temporal variability during

the period 1980-2014. To illustrate the relationship between SPI and TSDI, we plot the SPI and TSDI values based on TRMM precipitation estimates (1998-2014) and GRACE-derived TWS changes (2002-2014) for the period 1999 to 2014 in Figure 11, with TSDI values scaled to the SPI values. The temporal patterns of SPI and TSDI are closely matched for Region 1, 3, and 4 while the major drought events of 2009-2010 and 2010-2011 over Region 2 were also represented well by SPI and TSDI, where hydrological droughts have occurred almost 5-6 months after the meteorological drought.

The correlations between SPI and TSDI were computed for the period 2002-2014 (Table 6). Consistent with Figure 11, we found significant correlations (at 95% confidence interval) between SPI and TSDI during the period with a correlation of 0.44 (at one month lag), 0.52 (at 3 month lag), and 0.59 (at one month lag) for Region 1, Region 3, and Region 4, respectively. The correlation between SPI and TSDI for Region 2 was not significant. There exists a high seasonal correlation over the region between rainfall and TWS changes (see, Figure 5a). The correlations between MERRA-derived TSDI and GRACE-derived TSDI were found to be significant over all the four regions with a lag of up to 1 month (see, Table 6). In quantifying changes in TWS and the resulting hydrological drought events (as indicated by TSDI) in GHA, contributions due to evapotranspiration and increased use of freshwater (groundwater and surface water) in the region should be taken into account.

[FIGURE 11 AROUND HERE.]

[TABLE 6 AROUND HERE.]

523 The study further explored global teleconnection relationships to SPI and
524 TSDI indices. Table 7 shows the correlation coefficients and time lags (in
525 months) between SPI (and TSDI) indices and Niño3.4 and the Dipole Mode
526 Index (DMI) over various time periods between 1979 to 2014. Both SPI
527 and TSDI (GRACE) of Region 1 are significantly correlated with ENSO and
528 IOD and are more related to IOD than ENSO (with a maximum correlation
529 of 0.53 between TRMM and DMI). SPI (GPCC) and TSDI (GRACE) also
530 show significant correlations with ENSO (IOD) while both SPI and TSDI
531 over Region 4 show significant correlations with IOD with the highest cor-
532 relation of 0.41 for the GRACE-derived TSDI. SPI and TSDI over Region 3
533 (representing South Sudan) show the least correlation against ENSO (IOD)
534 indicating that rainfall and TWS variability over South Sudan was not asso-
535 ciated with large-scale climate events as the region is located further inland
536 compared to the other three regions.

537 It is observed that MERRA product shows low but opposite correlations
538 with ENSO (IOD) compared to GRACE, which could be another limitation
539 of the reanalysis product. Furthermore, IOD is seen to be the leading driver
540 of rainfall and TWS variability especially over the eastern GHA region with
541 the highest impact over Tanzania and Lake Victoria (see, Table 7). This
542 is consistent with previous studies, which reported that the East African
543 climate is highly governed by the Indian Ocean SST variability (e.g., [Williams](#)
544 [& Funk, 2011](#); [Tierney et al., 2013](#); [Lyon, 2014](#)).

545 **[TABLE 7 AROUND HERE.]**

546 6. Summary and Conclusions

547 The study found significant changes in long-term and decadal rainfall
548 based on monthly estimates from GPCC and TRMM over 1979 to 2014.
549 Long-term rainfall changes between 1980 and 2010 indicated significant pos-
550 itive (negative) changes over Sudan (Ethiopia and Tanzania) while changes
551 from 2002 to 2014 showed completely opposite trends over these two regions.

552 GRACE-derived TWS changes showed high positive (negative) trends
553 over central GHA (South Sudan and Tanzania) with a maximum increase of
554 about 20 mm/yr over the Lake Victoria Basin and central Ethiopia. High
555 correlations (up to 0.9) were noted between monthly rainfall and GRACE
556 TWS changes over South Sudan, western Ethiopia, and Tanzania, with a lag
557 of 1-2 months while rainfall over arid and semi-arid regions such as northern
558 Sudan and Somalia were least correlated, indicating that large-scale varia-
559 tions of TWS are mainly influenced by seasonal rainfall variations.

560 SPI and TSDI indices estimated over the four sICA-derived spatial re-
561 gions (Lake Victoria Basin, Ethiopia-Sudanese border, South Sudan, and
562 Tanzania) indicated several instances of severe to extreme meteorological
563 droughts ($SPI < 1.5$) resulting in extreme hydrological droughts that were
564 observed in MERRA and GRACE TWS changes (indicated by TSDIs). Cor-
565 relations between meteorological droughts (SPI) and hydrological droughts
566 (GRACE-derived TSDI) were found to be significant especially over Lake
567 Victoria region (0.44), Tanzania (0.52), and South Sudan (0.59), signifying
568 that precipitation plays an important role in the hydrological budget of the
569 regions.

570 While the Lake Victoria Basin experienced six major meteorological droughts

571 between 1979 and 2014, South Sudan and Ethiopia suffered seven meteorological
572 droughts lasting between one year to 3 and half years. The impact
573 of prolonged meteorological droughts is clearly evident in the TWS changes
574 over all the four regions, which resulted in multiple years of hydrological
575 droughts.

576 Consistent with the previous studies (e.g., [Tierney et al., 2013](#)), the Indian
577 Ocean SST variations were found to play a larger influence on the regional
578 TWS changes compared to the ENSO mode. It should be noted here that correlations
579 between MERRA and ENSO (IOD) indices were considerably lower
580 (and often opposite). Further verifications are required to assess the quality
581 of reanalysis products to study the long-term changes and hydrological
582 extremes over the GHA region.

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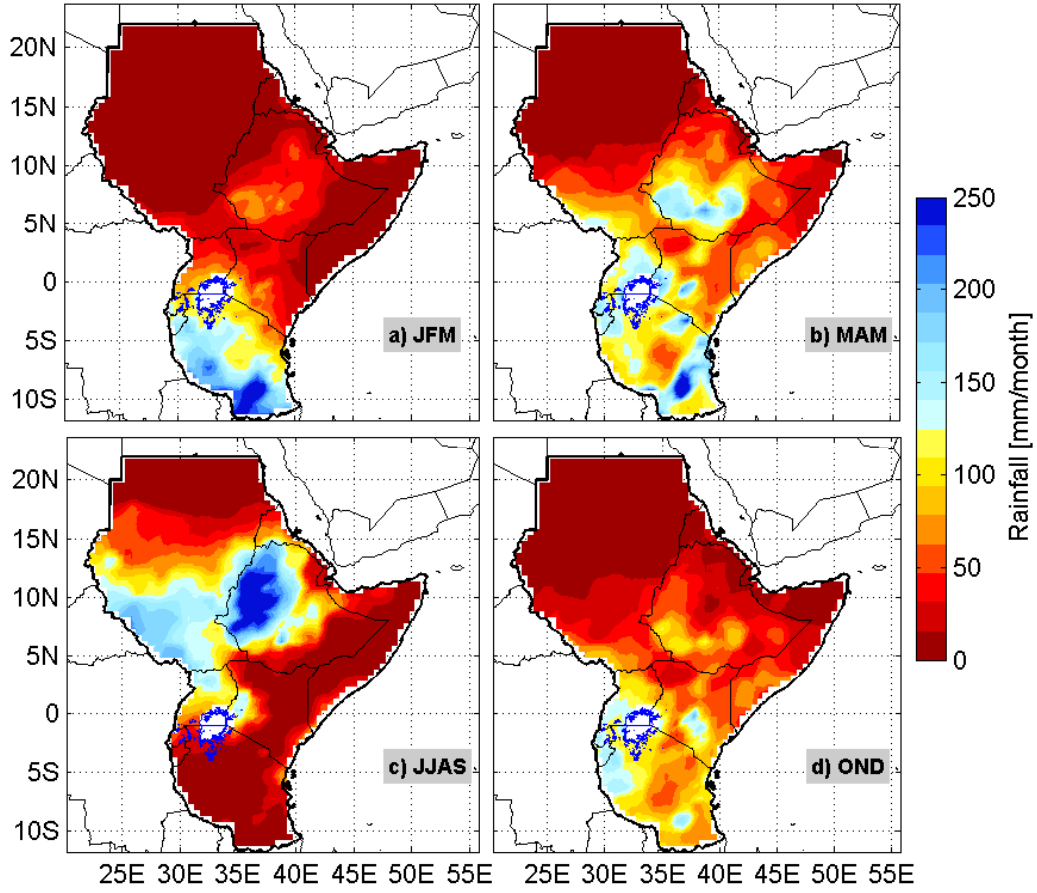


Figure 1: Spatial variability of seasonal rainfall over GHA from 1979-2010 based on GPCC v6 data: a) January-March (JFM), b) March-May (MAM), c) June- September (JJAS), and d) October-December (OND). Note that the signals over Lake Victoria has been masked out in our analysis to avoid spurious trends due to varying lake levels.

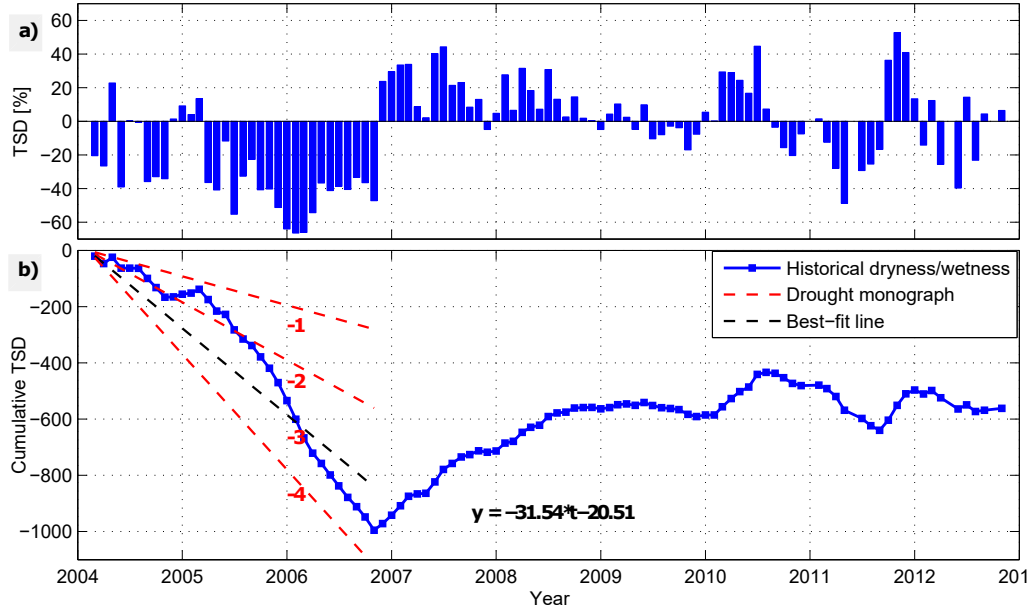


Figure 2: Total storage deficit over eastern GHA region from 2004 to 2013 (a) and cumulative total storage deficit (%) over the same period (b). Considering the horizontal line of zero in the Figure (b) to represent near normal condition, following [Palmer \(1965\)](#) classification, the interval from near normal to severe dryness was divided into four equal intervals, with the part above the best fit line having two lines (1 and 2) and the best fit is shown by line 3. To complete the [Palmer \(1965\)](#) classification, a fourth line 4 was plotted. All the four lines were drawn at equal intervals to define the extreme (Line 4), severe (Line 3), moderate (Line 2), and normal (Line 1) drought conditions.

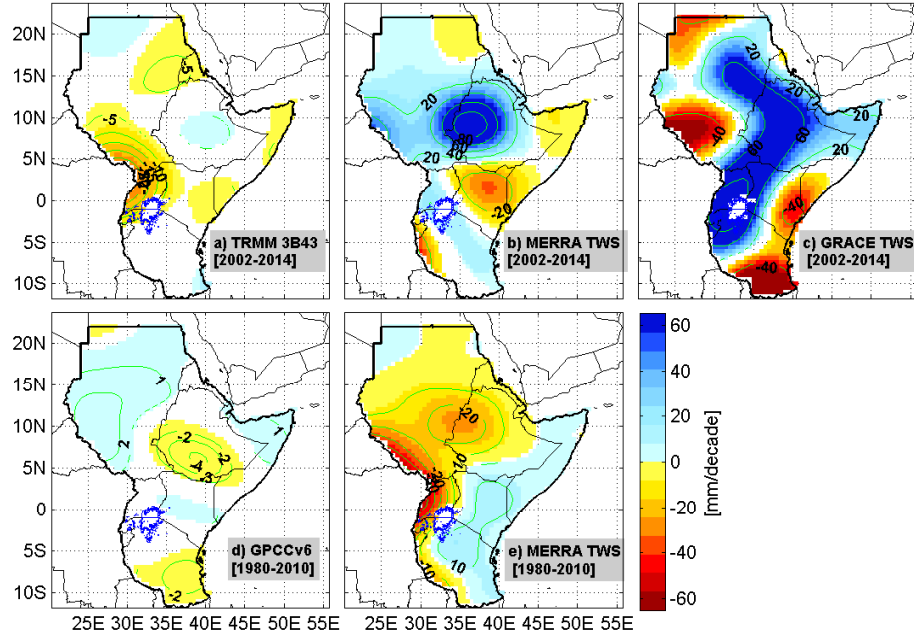


Figure 3: Spatial variability of linear trend over GHA based on a full complement of monthly data at 95% confidence level from 2002-2014 for (a) TRMM rainfall, (b) MERRA TWS, and (c) GRACE TWS. Long term variability are also assessed from 1980-2010 for (d) GPCC rainfall and (e) MERRA TWS. Note that signals over Lake Victoria were not included (i.e., they are masked).

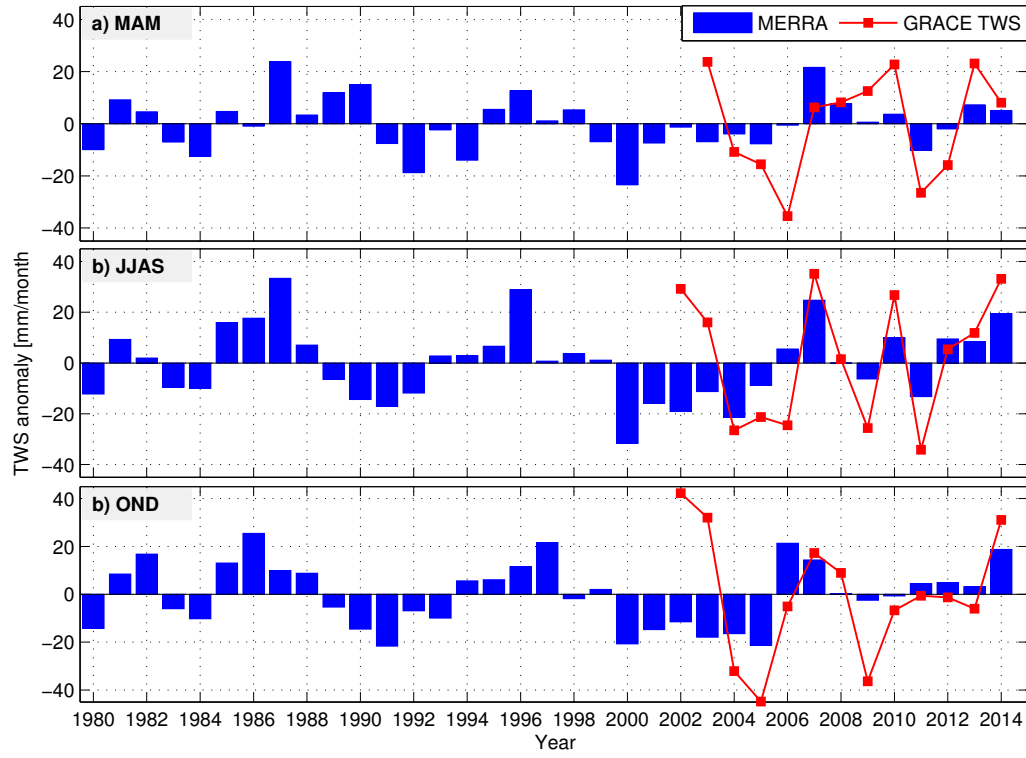


Figure 4: Regional-averaged seasonal anomalies of TWS over the GHA region based on MERRA (1980-2014) and GRACE (2002-2014). The seasonal averages are shown for (a) MAM, (b) JJAS, and (c) OND corresponding to Figures 1b-d.

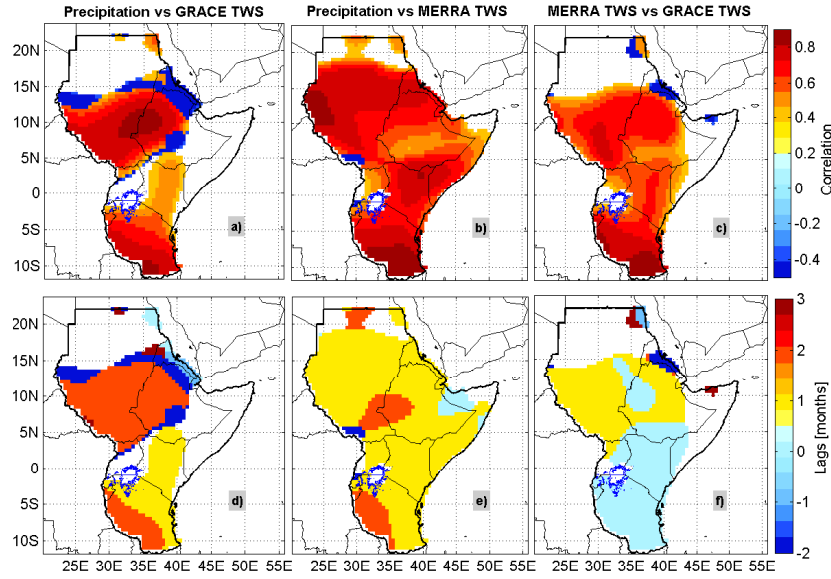


Figure 5: Temporal correlations (with lags in months) between monthly rainfall and TWS changes for the common period 2002-2014. Correlations and lags were computed between a&d) monthly precipitation estimates of TRMM and TWS changes derived from GRACE Level 2 data, b&e) monthly precipitation estimates of TRMM 3B43 and TWS changes simulated MERRA, and c&f) GRACE and MERRA TWS changes over the entire GHA region. Note that the values which are not significant at 95% were not shown.

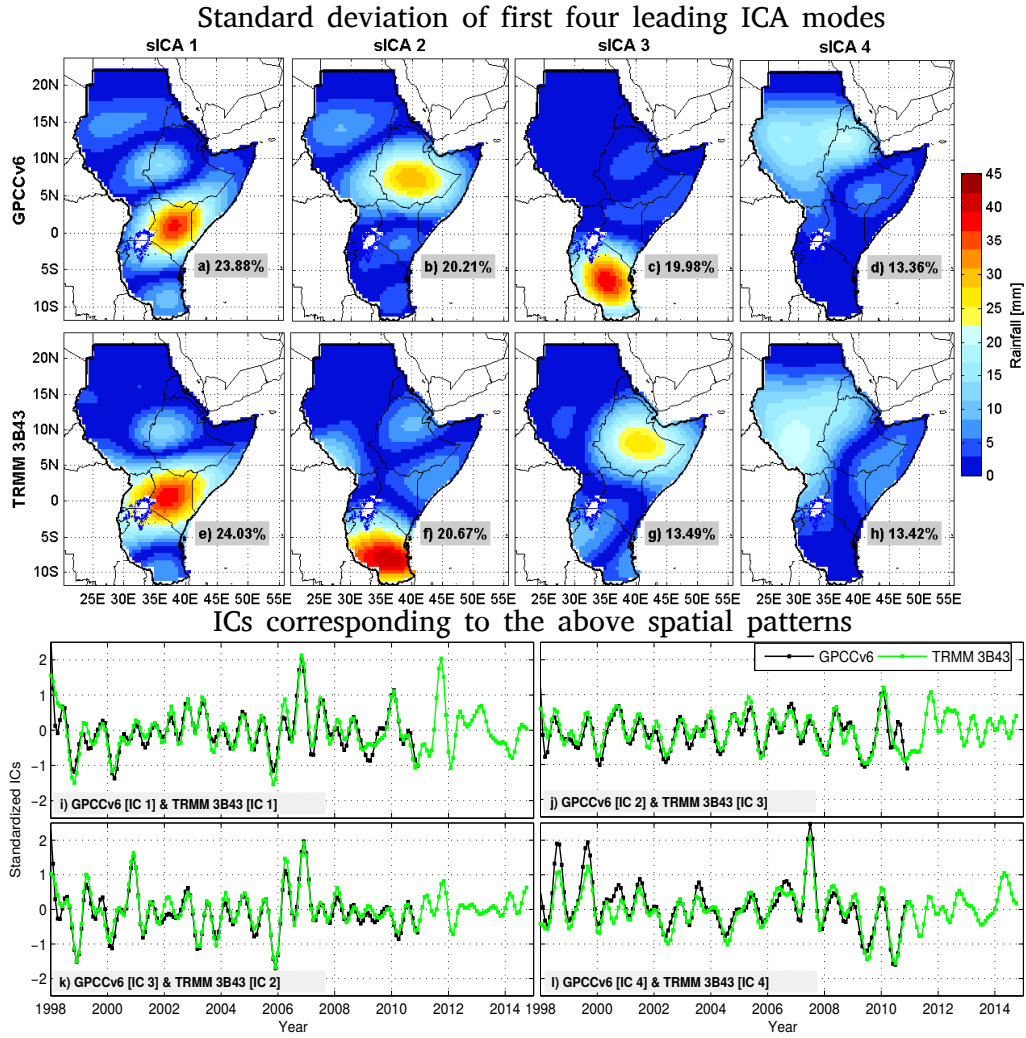
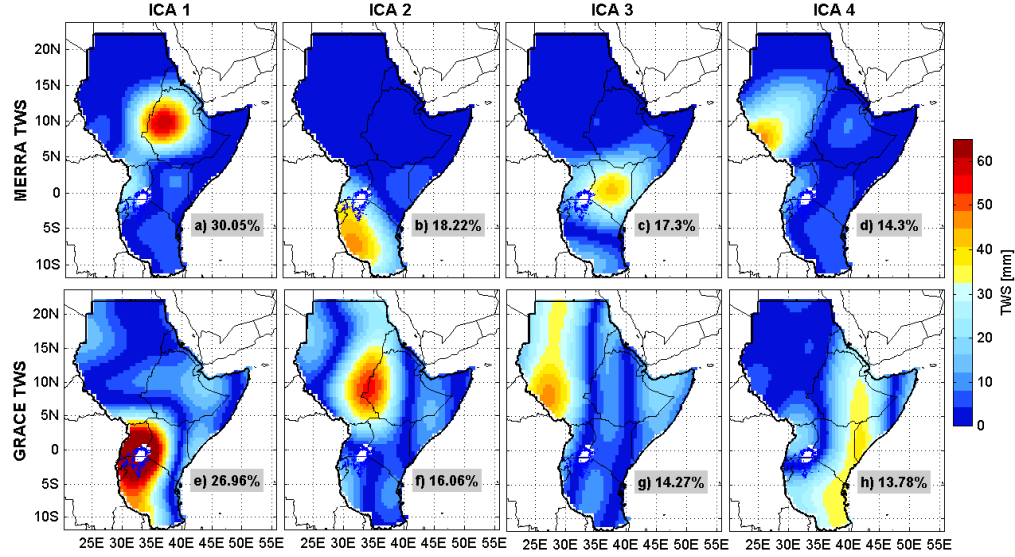


Figure 6: The first four dominant modes of rainfall variability over the GHA region based on a-d) GPCC (1979-2010), and e-h) TRMM (1998-2014). The corresponding temporal patterns (or ICs) are shown in the bottom panels (i-l), where a low-pass filter is applied to enhance their interpretation. (i) Temporal patterns corresponding to a & e, (j) b & g, (k) c & f, and (l) d & h.

Standard deviations of first four leading ICA modes



ICs corresponding to the above spatial patterns

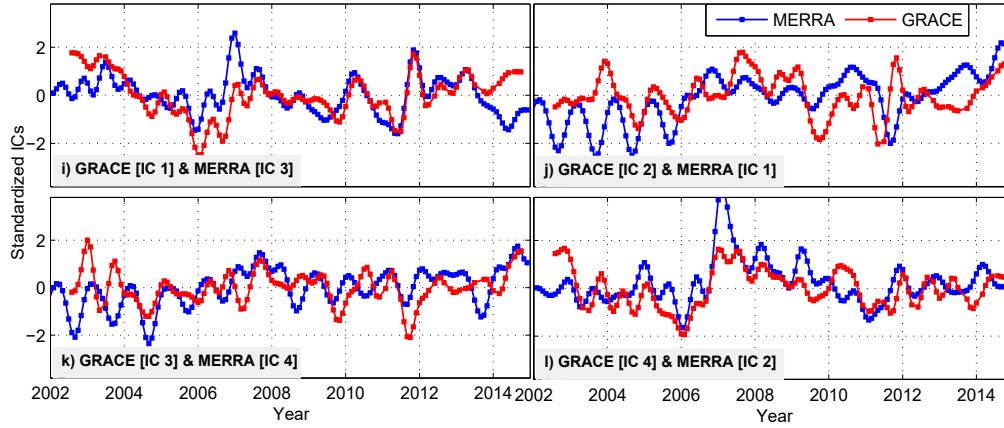


Figure 7: The first four dominant modes of TWS variability over the GHA region based on (a-d) MERRA (1980-2014) and (e-h) GRACE data (2002-2014). The corresponding temporal patterns (or ICs) are shown in the bottom panels (e-h), where a 6-month low-pass filter is applied to enhance their interpretation. (i) Temporal patterns corresponding to c & e, (j) a & f, (k) d & g, and (l) b & h.

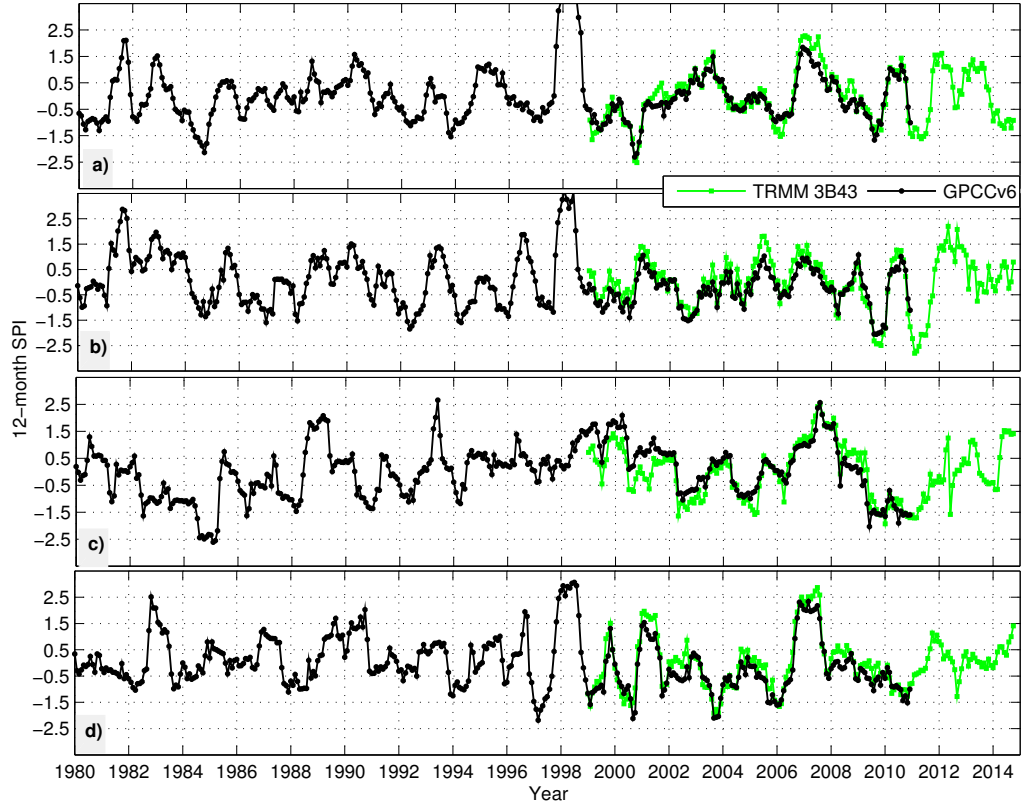


Figure 8: 12-month SPI indices estimated from monthly precipitation products of GPCC (1979-2010) and TRMM (1998-2014) over the four homogenous regions classified based on sICA (see also, Table 3). a) Region 1, b) Region 2, c) Region 3, and d) Region 4. Note that SPI was not calculated for the first 12 months in both datasets.

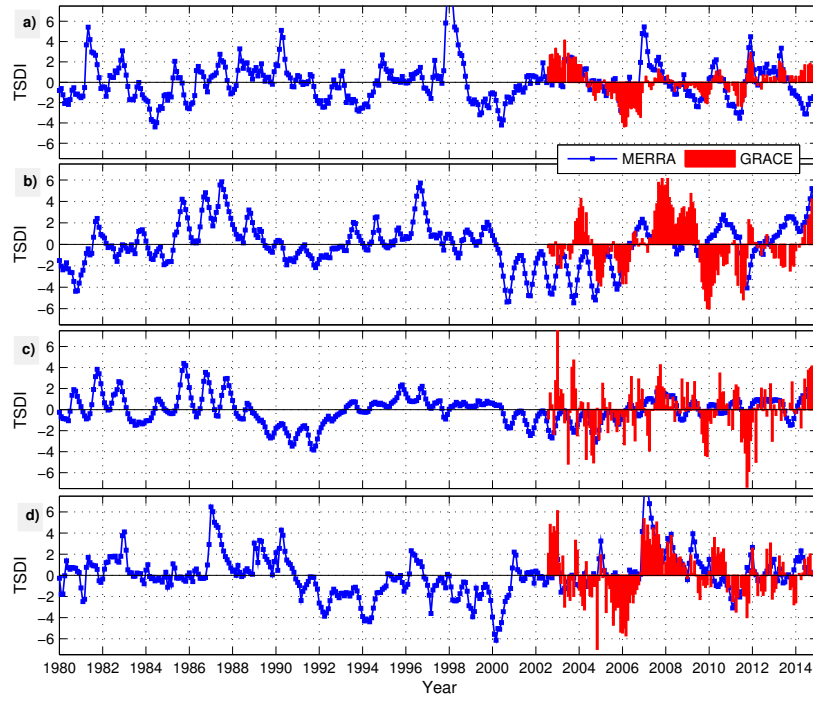


Figure 9: TSDI indices computed based on sICA-derived modes over (a) Region 1, (b) Region 2, (c) Region 3, and (d) Region 4 (see Table 3).

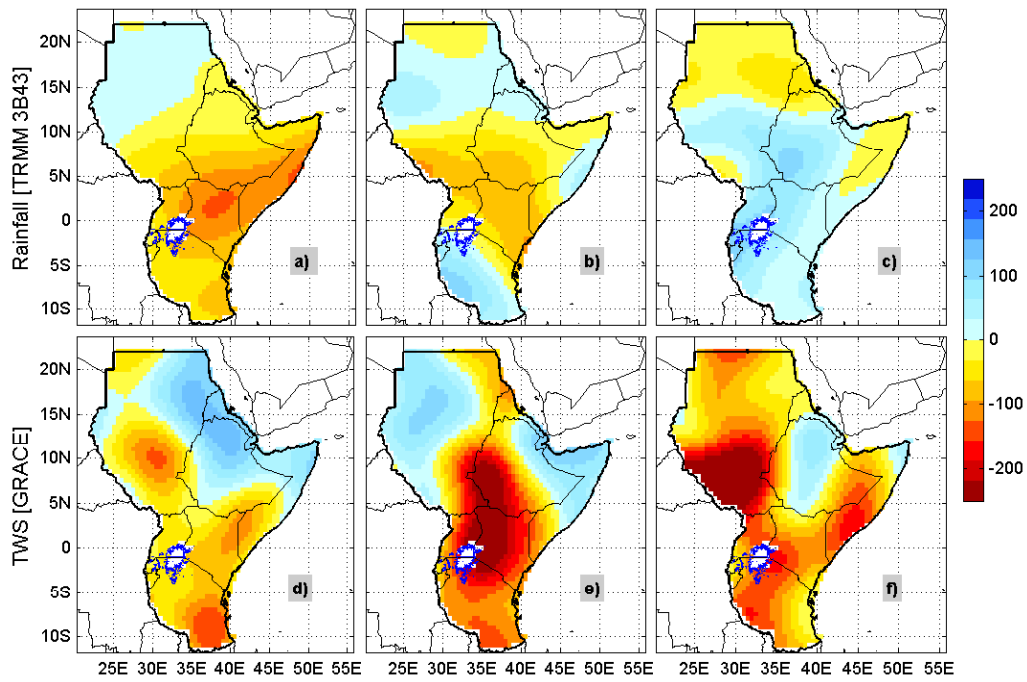


Figure 10: Seasonal rainfall anomaly and TWS changes (mm/season) over the GHA region during a-b) October-December 2010, c-d) March-May 2011, and e-f) June-September 2011.

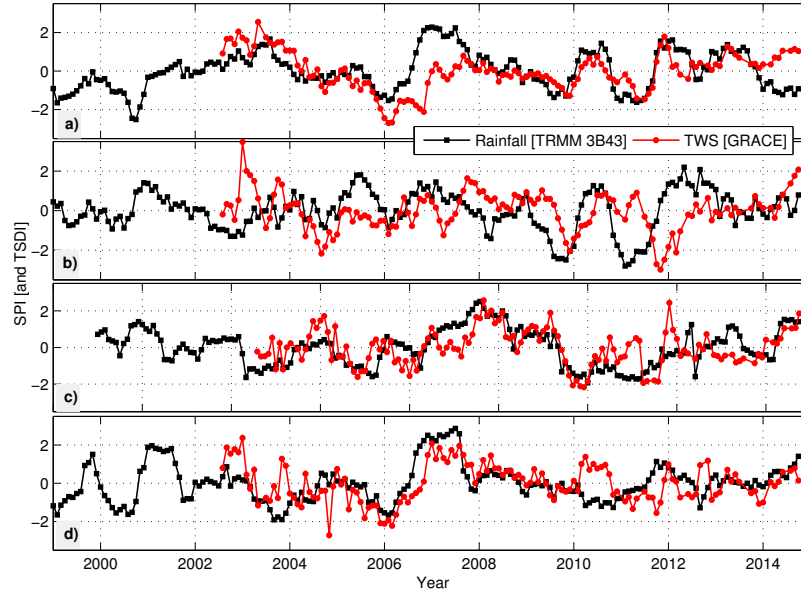


Figure 11: SPI and TSDI indices of TRMM precipitation product and GRACE TWS changes for the period 1999 to 2014. The TSDI indices were normalized to the SPI to highlight their temporal pattern over the four regions.

Table 1: Various categories of droughts and wet conditions based on the SPI Index ([McKee et al., 1993](#); [Viste et al., 2013](#)).

SPI	Category
+2.0 and above	Extreme wet
+1.5 to +1.99	Very wet
+1.0 to +1.49	Moderately wet
+0.99 to -0.99	Normal
-1.0 to -1.49	Moderate drought
-1.5 to -1.99	Severe drought
-2 and below	Extreme drought

Table 2: Various categories of droughts and wet conditions based on the TSDI Index ([Palmer, 1965](#); [Yirdaw et al., 2008](#)).

TSDI	Category
+4.0 and above	Extreme wet
+3.0 to +3.99	Very wet
+2.0 to +2.99	Moderate wet
+1.99 to -1.99	Normal
-2.0 to -2.99	Moderate drought
-3.0 to -3.99	Severe drought
-4 and below	Extreme drought

Table 3: Four sub-regions classified according to the first four leading modes of precipitation and TWS.

Region	Area	GPCC v6	TRMM 3B43	MERRA	GRACE
Region 1	Kenya	ICA1	ICA1	ICA3	ICA1
	Uganda				
	Rwanda				
	Burundi				
	Northern Tanzania				
Region 2	Ethiopia	ICA2	ICA3	ICA1	ICA2
	Sudan				
Region 3	South Sudan	ICA4	ICA4	ICA4	ICA3
Region 4	Tanzania	ICA3	ICA2	ICA2	ICA4
	Eastern Ethiopia				

Table 4: Severe to extreme meteorological droughts based on the SPI in the four regions (see, Table 3) of GHA from 1979 to 2014.

Region	Periods	Duration	SPI [Max]	Class
Region 1	Jul 1983-Feb 1985	20 months	-2.13	Extreme
	Apr 1993-Sep 1994	18 months	-1.54	Severe
	Nov 1998-Mar 2002	43 months	-2.31	Extreme
	Mar 2004-Jul 2006	29 months	-1.53	Severe
	Jun 2008-Nov 2009	19 months	-1.66	Severe
	Nov 2010-Sep 2011	12 months	-1.62	Severe
Region 2	Apr 1984-Apr 1985	13 months	-2.13	Extreme
	Jan 1986-Jul 1988	28 months	-1.60	Severe
	Oct 1991-Dec 1992	15 months	-1.84	Severe
	Nov 1993-Nov 1994	13 months	-1.59	Severe
	Apr 2002-Jan 2004	22 months	-1.52	Severe
	Feb 2009-Feb 2010	13 months	-2.50	Extreme
	Oct 2010-Oct 2011	13 months	-2.80	Extreme
Region 3	Jul 1982-Sept 1985	39 months	-2.57	Extreme
	Apr 1982-May 1985	38 months	-2.61	Extreme
	Mar 2009-July 2012	41 months	-2.03	Extreme
Region 4	Dec 1987-Mar 1989	16 months	-1.54	Severe
	Nov 1996-Sep 1997	9 months	-2.18	Extreme
	Nov 1998-Oct 2000	24 months	-2.14	Extreme
	Mar 2003-Jul 2006	41 months	-2.10	Extreme
	Dec 2008-Jul 2011	32 months	-1.52	Severe

Table 5: Severe to extreme hydrological droughts based on the TSDI in the four regions (see Table 3) of GHA derived from MERRA (1980-2014) and GRACE (2002-2014) products.

Region	MERRA (1980-2014)				GRACE (2002-2014)			
	Periods	Duration	TSDI [Max]	Category	Periods	Duration	TSDI [Max]	Category
Region 1	Nov 1983-May 1987	43 months	-4.33	Severe	Sep 2004-Nov 2006	27 months	-4.31	Severe
	Mar 1992-Mar-1996	49 months	-4.05	Extreme	Jun 2008-Feb 2010	21 months	-2.08	Moderate
	Feb 2000-Nov 2002	34 months	-3.34	Severe	Sept 2010-Sep 2011	13 months	-2.58	Moderate
	Oct 2010-May 2012	20 months	-3.68	Severe				
Region 2	Jan 1980-Jul 1981	19 months	-5.02	Extreme	Mar 2004-Nov 2006	33 months	-3.36	Severe
	Apr 2000-Jun 2006	75 months	-6.25	Extreme	Sep 2009-Oct 2011	26 months	4.60	Extreme
	Jul 2011-Jul 2012	13 months	-4.72	Extreme				
Region 3	Dec 1998-Mar 1993	52 months	-5.93	Extreme	May 2004-Nov 2006	31 months	-3.26	Extreme
	Jul 2000-Mar 2002	21 months	-3.9	Severe	Jun 2009-Sep 2011	28 months	-4.40	Extreme
	Jun 2000-Feb 2006	45 months	-4.81	Extreme				
Region 4	Feb 1991-Mar 1996	62 months	-5.84	Extreme	Mar 2004-Oct 2006	32 months	-5.70	Extreme
	Jan 1997-Jan 2001	49 months	-6.93	Extreme	Nov 2011-Jan 2014	27 months	-3.98	Severe
	Nov 2005-Nov 2006	13 months	-3.47	Severe				
	May 2010-Nov 2011	19 months	-3.17	Severe				

Table 6: Correlations between TSDI derived from GRACE TWS changes and SPI derived from TRMM precipitation estimates between 2002 and 2014. Correlations between GRACE and MERRA TSDIs are also shown to indicate the skills of MERRA with respect to TWS changes derived from GRACE observations. Correlation coefficients that are significant at 95% confidence level are indicated in bold.

Region	Region 1	Region 2	Region 3	Region 4
SPI and TSDI (GRACE)	0.44 (1 month)	0.24 (2 month)	0.52 (3 month)	0.59 (1 month)
MERRA vs GRACE (TSDI)	0.47 (1 month)	0.51 (0 month)	0.46 (1 month)	0.57 (0 month)

Table 7: Correlations (significant at 95% confidence level) and lags (in brackets) between rainfall (and TWS) and ENSO/IOD between 1979-2014. Negative months' lags indicate ENSO/IOD occurs before rainfall or variations of TWS and vice versa. Correlation coefficients that are significant at 95% confidence level are indicated in bold.

Region	Products	ENSO (Niño3.4)	IOD (DMI)
Region 1	GPCC v6 (1979-2010)	0.33 (-1)	0.48 (-1)
	TRMM 3B43 (1998-2014)	0.44 (-1)	0.53 (-1)
	MERRA (1980-2014)	-0.23 (5)	-0.18 (6)
	GRACE (2002-2014)	0.38 (-6)	-0.42 (-6)
Region 2	GPCC v6 (1979-2010)	0.44 (-1)	0.53 (-1)
	TRMM 3B43 (1998-2014)	-0.26 (-6)	-0.07 (-2)
	MERRA (1980-2014)	-0.26 (1)	-0.24 (1)
	GRACE (2002-2014)	0.48 (-1)	0.57 (-5)
Region 3	GPCC v6 (1979-2010)	-0.23 (1)	-0.18 (-6)
	TRMM 3B43 (1998-2014)	-0.26 (-1)	-0.20 (-1)
	MERRA (1980-2014)	-0.37 (3)	-0.44 (3)
	GRACE (2002-2014)	-0.21 (2)	-0.34 (-6)
Region 4	GPCC v6 (1979-2010)	-0.23 (-1)	0.39 (-2)
	TRMM 3B43 (1998-2014)	-0.20 (-6)	0.34 (-1)
	MERRA (1980-2014)	-0.22 (7)	-0.34 (6)
	GRACE (2002-2014)	0.34 (-6)	0.41 (-6)